### A Benchmarking Assessment of Known Visual Cryptography Algorithms

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#### CERTIFICATE OF APPROVAL

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by

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#### Abstract

With the growth of digital media, it is becoming more prevalent to find a method to protect the security of that media. An effective method for securely transmitting images is found in the field of Visual Cryptography. While this method is effective for securely transmitting images, many methods have been developed since the first algorithm was proposed in 1994 by Naor and Shamir. A benchmarking scheme is proposed to give the algorithm capabilities, understand the implementation method, evaluate the algorithm development, and provide image reconstruction information. Additionally, the algorithms are ranked according to a Visual Cryptography standard. This would allow an easy way to differentiate between algorithms and determine the ideal algorithm for a given task or project.

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## Chapter 1

## Introduction

With the increase in digital media, the need for methods to protect such information is becoming more necessary. The source of digital media's growth can be linked to the wealth of information provided by the Internet. The amount of information that is downloaded and uploaded increases on a daily basis, with data ranging from simple text documents to photos of individuals to hyperspectral image cubes of the world. The Internet provides an ease of access that demands knowledge of the best way to protect the visual information available on the Internet from theft, replication, or unauthorized use.

The field of Visual Cryptography has been developed over the last several years. The original method was proposed by Naor and Shamir [12] for binary images. This provides a perfectly secure system where secret messages are contained in "shares". Individually these shares resemble random noise, but when they are stacked and aligned perfectly, their message is decrypted using only the human visual system. While this method gives security for text and binary images, the growth of digital media requires the expansion of this technique to provide security for gray and color images. Several methods have been developed for securing gray and color images, including halftoning [14], dithering [10], color subpixel groupings [6], and meaningful image shares [11, 2]. Through this expansion of the original method, Visual Cryptography provides a secure way to

store and transmit text, binary images, gray images, and color images.

Since the original method was released in 1994, there have been an abundance of variations, modifications, and improvements added to the collection of available Visual Cryptography techniques. As the number of published methods increase, a technique for evaluating the effectiveness, quality, and ideal use of each of the algorithms is necessary. Currently, this information can be determined by reading through the paper, evaluating its contents, and determining if it is a suitable method for a given project. While it is possible to perform this process on several algorithms before deciding on the final method to be used, it would be beneficial for a set of standards and performance metrics to be available for use in determining the ideal Visual Cryptography method for a specified project. The development of a proper benchmarking scheme would allow these standards and performance metrics to exist in one uniform format. The contents of this benchmarking scheme would contain information regarding the capabilities of the algorithms. It would determine the primary approach and methodology used to generate the image shares. Also, it would provide external implementation and validation of the code presented to execute the algorithm. Additionally, it would provide information on image reconstruction, overall quality of reconstructed images, and a ranking (or grade) of the algorithm compared to a given Visual Cryptography standard. Ideally, the benchmarking scheme would result in a report card that could be read to determine whether or not the algorithm would be a suitable method for a given project. These report cards could either be required when publishing a new algorithm or published on the Internet for easy access and search. The development of this benchmarking scheme would allow easy access to the information available from published Visual Cryptography algorithms and provide a standard metric for evaluating their capabilities and performance.

The structure of this thesis is as follows: Chapter 2 reviews the history of Visual Cryptography, along with a proof of concept for binary, gray and color image share generation. Chapter 3 discusses the details of the proposed benchmarking scheme along with results from some algorithms. Chapter 4 looks into the importance of the benchmarking scheme and and the project relevance for the Visual Cryptography community. The conclusions of the thesis are found in Chapter 5 and potential future work and project expansion are found in Chapter 6.

## Chapter 2

# Visual Cryptography Background

In order for the benchmarking scheme to be properly developed and executed, a developer needs to have an awareness of the history of Visual Cryptography. The developer also needs an understanding of the underlying concepts of Visual Cryptography and how they are used to generate shares of binary images. Recently, Visual Cryptography has been extended to accommodate shares of gray and color images, further extending its capabilities and versatility. This understanding of Visual Cryptography is necessary to allow an objective comparison of all the different types of algorithms.

### 2.1 History of Visual Cryptography

The field of Visual Cryptography has evolved over the past several years. The first Visual Cryptography method was proposed by Moni Naor and Adi Shamir in 1994 [12]. Their paper focused on a process for perfectly encrypting digital media that could be decoded using solely the human visual system. This idea would allow written material to be digitally transmitted without concern that the message could be intercepted and accidentally revealed to unauthorized parties. The primary description associated with Visual Cryptography is the message being encoded into two shares. When looked at individually, these shares reveal no information about the message

contained in them and resemble random noise. However, when these shares are printed on transparencies, overlaid, and perfectly aligned, the message contained in the shares is revealed. The message is revealed without additional calculation or manipulation. This feature assures that the secure process can be used by someone who has no previous knowledge of Visual Cryptography, programming background, or cryptographic analysis experience. Since the development of this idea, several different variations and modifications have been developed to explore many diverse aspects of Visual Cryptography. Some of these include an algorithm for encrypting specific image regions [15], an algorithm using share rotation for revelation [13], and an algorithm which uses a probabilistic scheme for share generation [3]. As these algorithms are explored and developed, they have different approaches and techniques but the fundamental underlying architecture draws directly from the original Visual Cryptography technique.

### 2.2 **Proof of Concept - Binary Images**

The process behind Visual Cryptography allows messages to be contained in seemingly random shares. The generation of these shares demonstrates the concept of Visual Cryptography along with its strengths and limitations. Assuming that the message being encrypted is a binary image with p pixels, each of these pixels are separately encoded with a subpixel grouping with s pixels. This allows n shares to be generated using these subpixel groupings. "Each share is a collection of

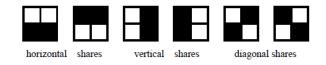


Figure 2.1: Shares Most Commonly Used for Visual Cryptography Algorithms [12]

*m* black and white subpixels, which are printed in close proximity to each other so that the human visual system averages their individual black/white contributions." [12] These subpixel groupings are typically square  $(\frac{s}{2}x\frac{s}{2})$  to not distort the aspect ratio of the original image. However, subpixel

groupings that are not square do happen in Visual Cryptography algorithms and the aspect ratio of the image is altered accordingly.

The most frequently used subpixel groupings in Visual Cryptography algorithms are shown in Figure 2.1. The image is encoded in n shares and the message can be revealed by stacking k of those n shares. However, if k - 1 shares are stacked together, the encoded message cannot be seen. This provides security by the fact that the messages cannot be revealed unless a minimum

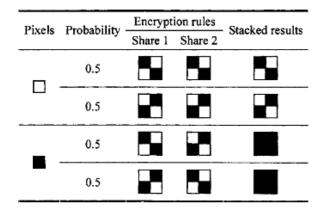


Figure 2.2: Visual Cryptography Scheme for Binary Images [7]

number of shares are stacked together, in addition to the security of seemingly random shares. The generation of the shares is based on the value of the pixel and the probability of a subpixel group occurring. A share generation scheme corresponding to k = 2 and n = 2 is shown in Figure 2.2. This is applied to a binary image by assigning the corresponding subpixel grouping to the pixels throughout the image. This results in two random shares where the message cannot be identified. The mathematical proof of this scheme and its perfect encryption are shown in the original paper by Naor and Shamir "Visual Cryptography" [12].

To illustrate this process, a binary image of size  $300 \times 300$  will be encrypted into n = 2 shares. The image that will be encoded is shown in Figure 2.3. The same rules as shown in Figure 2.2 will be used to generate the shares. Because the subpixel grouping used to replace the original image pixels in the shares are  $2x^2$ , there is an image expansion of 2 in each direction. Therefore, the resulting shares will be size  $2 \times 300 \times 2 \times 300$  or  $600 \times 600$ . The shares produced from this



Figure 2.3: Binary Image Used to Illustrate Original Visual Cryptography Scheme

algorithm are shown in Figure 2.4. This demonstrates that the shares produced by the original Visual Cryptography algorithm are essentially random noise. They contain no information about the original message individually and are twice the size of the original image. However, it is not easy to see the encoding process at a pixel-by-pixel level when looking at the shares in their entirety.

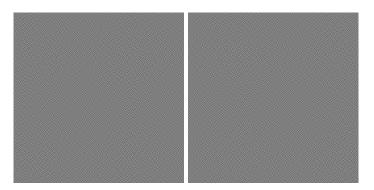


Figure 2.4: Shares of Binary Image Generated with Original Visual Cryptography Algorithm

To better illustrate this process, a portion of the original image has been zoomed in on, along with the corresponding portion of the two resulting shares. These images are shown in Figure 2.5. By following a two row grouping (the height of subpixels used) across both the image shares, one can see the pixel-by-pixel level encryption using the subpixel groupings described previously. One is also able to identify the transition from white to black pixels in the shares by comparing the similarities and differences of the subpixels assigned to share 1 and share 2. The illustration of this original algorithm provides insight for the understanding of the primary form of Visual Cryptography that is the basis for most algorithms that have been generated since its conception.

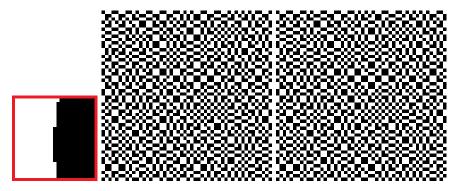


Figure 2.5: Shares of Binary Image Generated with Original Visual Cryptography Algorithm

### 2.3 Extension to Gray and Color Images

The process of Visual Cryptography, as developed through the original algorithm [12], was designed to be used with binary images. This is illustrated from the nature of the shares and the encryption process documented previously. If the secret messages being encoded contain text or binary images, the process shown in the original algorithm works well. However, the world is not composed of solely black and white pixels. With the increasing production of images in the digital age, gray and color images have a pressing need for encryption and protection as much, or more, as binary images.

#### 2.3.1 Gray Images

While Naor and Shamir did focus most of their paper on the development of an algorithm to encrypt binary images, they were also aware of the eventual need to encrypt gray and color images. In the last section of their paper, they proposed a technique which involved printing each of the pixels in an image as half black - half white circles. This allowed the rotation angle of the corresponding circles to vary and which would reveal a range of gray tones throughout the overlapped shares. If the rotation angle of the first share pixels are chosen at random, then the

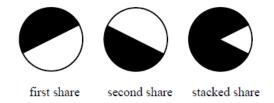


Figure 2.6: Visual Cryptography Scheme for Gray Images Using Circle Pixels [12]

relative change in rotation of the corresponding share pixels would result in uniformly gray shares with no information about the original image being revealed [12]. An example of this process is shown in Figure 2.6 which illustrates the overlapping circle pixels process. Not much analysis or mathematical proof is shown, but conceptually the process is valid and will result in two seemingly random shares, that when overlaid perfectly reveal the secret message.

While this process has not been popular for encrypting gray images, there has been growing research on other techniques that have gained popularity and success amongst the Visual Cryptography community. One of the more popular methods has implemented the process of halftoning images [14]. Halftoning can be accomplished by thresholding the image. This is done by designating a pixel cut-off value to determine if a gray pixel should be assigned to a black or white pixel. One technique is assigning all gray values below 128 digital counts to black and any above that threshold to white. This results in an image with false shadowing and a mediocre representation of the gray image. Another technique is to examine a subgroup of pixels, determine their average, and reassign that block of pixels with a designated ratio of black and white pixels approximating



Figure 2.7: Shares of Binary Image Generated with Original Visual Cryptography Algorithm

that level of gray. The number of gray levels used determine the quality of the resulting black and white (gray) image. To illustrate, Figure 2.7 shows the original image of Lena and corresponding thresholded images using two, eight, and sixteen gray levels, respectively. When compared to the original image, the two gray level image shows the overall shape of the image and major features but does not show any of the finer details. The eight gray level image shows more detail than the two level image but still blurs some of the edges and gives false shadows. Of the three thresholded images, the sixteen gray levels is the best representation of the original image, with the note that the possible number of image levels ranges from 2 to 256. The thresholding process results in a choice. Either the image is quickly processed through a minimum number of levels and results in a fair loss of contrast or the processing takes additional time with a larger number of levels and results in an image more representative of the original image. Another halftoning technique can be achieved by implementing the process of dithering. Dithering an image is accomplished by treating the image as a grid of pixel groups. Each pixel group is mapped to a new image, equal to the original size, using a space filling curve such as a Hilbert curve. This mapping process allows a pixel grouping of the original image to be transformed into a binary approximation of a gray level image. The specific details of the algorithm and illustrations are shown in [10]. Another technique uses the binary representation of gray images and boolean operations to generate the encrypted image shares [4]. This technique generates a binary share for each bit level of a gray image resulting in eight binary shares. Then each of those images are encrypted using their r-runs scheme. To reconstruct the encrypted image, the r-runs must be recombined into eight binary shares and then the shares properly transformed back into a gray level image. While this method requires more work in share generation and revelation than the previous techniques, this process uses the original gray color values of the image rather than simply thresholding them, as seen in the first halftoning technique, or reassigning them, as shown in the dithering curve mapping technique. All of these techniques allow gray images to be represented by a strategic placement of black and white pixels.

#### 2.3.2 Color Images

Just as images in the world cannot be solely represented by binary images, gray images are not sufficient either. Representation of the world in color images is important for our understanding of the world and allows the storage of information linked to the human visual system's interpretation of the world. The incorporation of color into Visual Cryptography would offer security to a majority of the digital media being generated today.

The original Visual Cryptography paper did not explicitly state an expansion of their method that would allow shares to be generated of color images. However, they did consider the possibility of concealing secret messages within innocent looking images with little visible tampering. While this does not directly allow shares to be generated with color images, it is the basis for several color Visual Cryptography methods.

One method of color Visual Cryptography that is gaining popularity among the community is concealing messages within meaningful color image shares. Some examples of this method are seen in [11] and [2]. These methods allow corresponding shares to be generated using two cover images and two secret messages. Both secret messages are encoded in the cover images but when examined individually, the shares do not appear to have any secret messages contained in them. However, when the two images are overlaid perfectly, one of the secret messages is revealed. If the images are offset and overlaid, a second message is revealed. This provides a dual layer of authentication of secret messages and meaningful shares that can be transmitted as "normal" images without arising suspicion of the secret messages contained in them.

Mask	Revealed color (C,M,Y)	Share1(C)	Share2(M)	Share3(Y)	Stacked image	Revealed color quantity (C,M,Y)
	(0, 0, 0)			H		(1/2, 1/2, 1/2)
	(1, 0, 0)			H	_	(1, 1/2, 1/2)
	(0, 1, 0)					(1/2, 1, 1/2)
	(0, 0, 1)					(1/2, 1/2, 1)
	(1, 1, 0)					(1, 1, 1/2)
	(0, 1, 1)			H		(1/2, 1, 1)
	(1, 0, 1)					(1, 1/2, 1)
	(1, 1, 1)					(1, 1, 1)

Figure 2.8: Visual Cryptography Scheme for Color Images Using CMY Shares and Mask [6]

Another method of color Visual cryptography stems from the original method by attempting to encrypt colored pixels of an image. Some examples of this are seen in [6]. One method from this paper uses a process that generates three halftone color shares from the CMY components of the image. For revelation, the three shares are stacked and a mask is placed over the three shares to remove unwanted color components, revealing a halftoned version of the original image. This process is shown in Figure 2.8 with the various pixel subgroupings and revelation processes. This automatically generates a minimum of four shares which adds to the complexity of how the image revelation occurs. Another method from this paper uses a subpixel groupings of four pixels (cyan, magenta, yellow, and white) and distributes the various groupings so their overlapping corresponds to the approximate color of the image in that location. The various colors and positions in the

Revealed color (C,M,Y)	Share 1	Share 2	Stacked image	Method	Resultant result	Revealed color quantity (C,M,Y)
(0, 0, 0)				Share 1and Share 2 with the same permutation		(1/4, 1/4, 1/4)
(1, 0, 0)				Swap the position of eyan and transparent		(1/2, 1/4, 1/4)
(0, 1, 0)				Swap the position of magenta and transparent		(1/4, 1/2, 1/4)
(0, 0, 1)				Swap the position of yellow and transparent		(1/4, 1/4, 1/2)
(1, 1, 0)				Swap the position of cyan and magenta		(1/2, 1/2, 1/4)
(0, 1, 1)				Swap the position of yellow and magenta		(1/4, 1/2, 1/2)
(1, 0, 1)				Swap the position of cyan and yellow		(1/2, 1/4, 1/2)
(1, 1, 1)				Swap two positions in pair		(1/2, 1/2, 1/2)

Figure 2.9: Visual Cryptography Scheme for Color Images Using CMY Subpixels [6]

subpixel groupings provide 8 different resulting colors in the final image. This process is shown in Figure 2.9 with the various pixel shares and revelation process. From this process, only two shares are generated with an image expansion of two and a loss of contrast from the original image. The last color method from this paper attempts to fully reconstruct the color in the image pixels. This is accomplished by constructing six temporary halftoned CMY shares, C1, C2, M1, M2, Y1, & Y2, using a technique described previously in the paper. Then the two final shares are generated by combining C1, M1, & Y1 and C2, M2, & Y2. When overlaid, the two shares reveal the final image with less shares than the first method and greater contrast than the second method. The specific

#### CHAPTER 2. VISUAL CRYPTOGRAPHY BACKGROUND

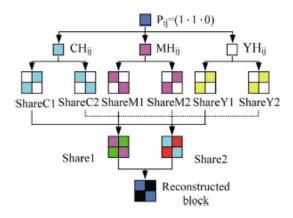


Figure 2.10: Visual Cryptography Scheme for Color Images Using Improved CMY Subpixels [6]

process for reconstructing a blue pixel in an image is shown in Figure 2.10. These three methods illustrate the attempt to represent the three bands of RGB images into Visual Cryptography shares that when overlaid perfectly, reveal the concealed image.

#### 2.4 Observations and Additional Information

While these techniques provide an approximate binary representation of gray and color images, none of these techniques provide a perfect reconstruction of the original gray and color images. The result of overlapping the shares is only an approximation to the original image. This is due to the nature of the original Visual Cryptography algorithm that was designed. Because there is no way to perfectly construct gray or color image shares using current Visual Cryptography techniques, this will be a loop hole that can be exploited and does not provide the same security for gray and color images as it does for binary images. In order to achieve the same level of security, the Visual Cryptography technique would need to be modified to properly accommodate gray and color image pixels and a modified generation of the shares.

## Chapter 3

# **Benchmarking Analysis**

As seen from the previous chapter, there are many different types of Visual Cryptography algorithms. They all stem from the same original algorithm by Naor and Shamir [12] but they cover many different aspects, ranging from concealing secret messages in binary image shares to messages hidden in meaningful color image shares. The growth of the available number of algorithms is increasing and will likely continue to increase as the interest in the field of Visual Cryptography grows. Because of this growth, a scheme to evaluate the effectiveness, quality, and ideal use of each algorithm becomes more pertinent. Developing a benchmarking scheme would allow each of the algorithms to be properly evaluated, compared to an established Visual Cryptography standard, and assessed for their strengths and weaknesses.

### 3.1 History of Benchmarking

The origins of the benchmarking process in the business world find its roots at the Xerox Corporation in the 1970s [5]. The engineers at the company were aiming to understand how the Japanese were producing a better product at a lower cost. They "adopted process engineering techniques to scrutinize every step of its own manufacturing procedures and those of its rivals" [5]. Through this process, they improved their processes, beat out the competition, and were able to successfully apply this technique to all aspects of the company. The formal documentation of the benchmarking process was first published by Robert C. Camp, who is still considered to be a leading expert on the benchmarking process.

"The Japanese word dantosu – striving to be the best of the best – captures the essence of benchmarking" [1]. The process of benchmarking has been well established in the business world and has been successfully applied to many different companies with noticeable results achieved. There are five phases that encompass the process of benchmarking. The first phase is planning. This phase identifies what aspect or product from the company will be benchmarked. It also determines who the competitors are and how the data will be collected. If a company has never implemented a benchmarking scheme, this process could consume the most amount of time and require much revision before the benchmarking scheme is actually executed by the company. The second phase is information gathering. Through surveys, algorithms, and public domain information, the actual data is acquired and gathered. This phase can be laborious due to the large amount of data that needs to be collected. The third phase is analysis and integration. This is where the data is analyzed and the results of the benchmarking process are determined. This allows the company to be numerically compared to the competition and develop a performance gap which is either positive, negative, or zero. Ideally, when the company is benchmarked, it should have superior performance as compared to the competition, indicated by a positive performance gap. The fourth phase is implementation and execution. After the results are discovered, they are presented to upper management for acceptance. This allows a plan of action to be developed and implemented to reduce the difference between the competition or maintain the positive edge. It is also crucial at this phase that the plan of action is continuously followed by the company and its employees. The fifth and final phase is recalibration. The phase allows the benchmarking process to be under review and analysis while it is being implemented and after the plan of action has been established. It also allows necessary adjustments to be made to enable the company to maintain its competitive edge as new information is obtained and benchmarking has been established as an effective technique. [8] The process of benchmarking is constantly evolving to suite different needs and requires evaluation, revision, and updating to be a successful technique.

#### **3.2** Necessary Content for Benchmarking Scheme

In order to develop a benchmarking scheme for Visual Cryptography algorithms, the business practices must be redefined to accommodate the process of Visual Cryptography and a standard developed to determine the effectiveness of a given algorithm. The benchmarking scheme would need to be able to identify the capabilities of the algorithm with regards to handling binary, gray, and color images. It would also be necessary to categorize the type of approach used to generate the image shares, such as the original Visual Cryptography technique, dithering, wavelet transform, meaningful shares, or other methods. An evaluation of the pseudo code provided would also need to be assessed and evaluated for its clarity, descriptivity, and content. The reconstruction of the image from the shares would also need to be evaluated for its method, difficulty, and overall reconstructed image quality. There would also need to be a section corresponding to general observations, comparison to other algorithms, the rank (or grade) of the algorithm, and any other comments or notes. Compiling this information would allow a scorecard to be generated encompassing all of this information in an organized fashion. This benchmarking scheme hinges on the ability of assigning numerical rankings and scores to these Visual Cryptography concepts.

#### 3.3 Benchmarking Scheme

The proposed benchmarking scheme is presented in this section. The specific details of each benchmarking step will be discussed and elaborated on to illustrate the process. This benchmarking scheme successfully incorporates the necessary content listed above and provides a method for evaluating Visual Cryptography algorithms and determining their strengths and limitations.

The first step of the benchmarking scheme is to gather information about the algorithm. Some important information to acquire is the name and paper reference to include with the final scorecard. Additionally, several algorithm capabilities need to be identified, one of which is the type of images the algorithm is capable of handling. Although this information should be readily available in the name of the algorithm or abstract, this is not always the case and is sometimes is a mislead-

Possible Algorithm Capabilities	Code
Binary	В
Gray	G
Color	С
Binary & Gray	BG
Binary & Color	BC
Gray & Color	GC
Binary & Gray & Color	BGC

Table 3.1: Information for Step 1 of the Benchmarking Scheme

ing representation of the algorithm capabilities. After the image capabilities of the algorithm are identified, the corresponding code is located in Table 3.1. This code allows a short hand notation for the algorithm capabilities and it can easily been seen how the codes are derived. In addition to the image capabilities, it is also important to identify the number of shares able to be generated from a given algorithm. This provides insight into the storage requirements of the algorithm results and the overall complexity of the share generation process. It should also be noted that the original algorithm provides information for generating any number n shares. However, in many proposed Visual Cryptography algorithms, the claim is made that the algorithm is capable of generating any value of n shares but information provided only allows the generation of a few specific n values of shares. Another important piece of information to identify is the expansion factor of the shares relative to the size of the original image. This provides insight into the image distortion that will occur upon image reconstruction and the amount of storage necessary to store a given number of images and their shares. While this step does not take much effort to perform, it is important to quickly identify the capabilities of the algorithm to determine if a project would benefit from using a given algorithm.

The second step of the benchmarking scheme is to determine the type approach used to generate the image shares. While many of the algorithms focus on alternative implementations of the original algorithm, some take an entirely different approach which has little to do with the concepts of the original algorithm. Some of these alternative approaches include dithering, wavelet transform, meaningful shares, color subpixels, and multiple others. The variations with respect to the original algorithm are often correlated with its ability to handle gray and color images. With this knowledge of the approach used to generate the image shares, the approach can be listed on the scorecard in the form of a short description (1-4 words). This provides an initial conceptualization of the algorithm and its method of implementation. It also leads into the construction of the algorithm and what approach the authors use to generate the image shares.

The third step of the benchmarking scheme is to evaluate the algorithm implementation given in the paper. This implementation is often given in pseudo-code or step-by-step explanations. This information provides the backbone and the structure of the algorithm. It is important that the implementation have clarity, contain proper descriptivity, and provide sufficient content for the reader to understand the algorithm and its generation of shares. Because the range of information

Rank	Clarity	Descriptivity	Content
1	No code given	No code given	No code given
2	Code provided has no di- rection	Code contains no descrip- tions	Code incomplete in all steps
3	Code unclear in almost all steps	Only one or two steps de- scribed	Only one or two steps pro- vided
4	More steps clear but less than half	Descriptions for a few steps but less than half	Less than half steps pro- vided
5	Half steps clear, half not	Only half of the step de- scriptions given	Half of steps given but need to obtain others
6	Need a little less than half steps clarified	Have over half descrip- tions but missing couple major steps	Missing a couple major steps but have more than half
7	Clarity in more than half of steps but not all	Steps provided described but missing a key part	Missing a major step or two
8	Need to clarity a couple minor points	Some minor explanations needed	Missing a couple minor steps
9	Need to clarify a minor point	Descriptions only missing minor points	Missing only one or two minor steps
10	All steps defined and listed properly	Explanation given at all steps	All steps covered to exe- cute algorithm

Table 3.2: Information for Step 3 of the Benchmarking Scheme

provided in pseudo-code, the algorithm being evaluated will be ranked on a scale of one to ten in the three categories listed above: clarity, descriptivity, and content. The numerical ranking depends on a number of factors and information provided. The specific amount assigned is determined from Table 3.2 which contains the meaning of each numerical ranking assignment. This ranking has the potential to be subjective to personal opinion and capabilities with regards to code implementation and perception. To remove some of this bias, it is recommended that three or more independent people evaluate the pseudo-code provided to determine their rankings and opinions. Then, these three or more responses are averaged to give a reduced bias final ranking. This evaluation of the algorithm implementation provides an understanding of the algorithm that is essential for determining if this algorithm would be applicable to a specific project and if the team has the capabilities to implement it.

The fourth step of the benchmarking scheme is to gain insight into the image reconstruction process. Standardly, reconstructed images are revealed by printing the shares on transparencies, overlapping them, and perfectly aligning them. This process is tedious and often time consuming. Therefore, alternative reconstruction processes are often proposed in Visual Cryptography papers. For the original algorithm, the transparency overlap process can be digitally approximated using the exclusive or (xor) operator. This process can be applied to some of the proposed algorithms as well. Other algorithms require an "inverse" scheme to be developed which reconstructs the image from the given information in the shares. This image reconstruction process is documented using key characteristics such as the method of reconstruction, ease of implementation, and an image quality metric (PSNR, noise correlation, etc.). Similarly to the type of approach used generated the image shares, the reconstruction methods should be characterized in a short description. The ease of implementation should be classified as either easy, medium, or hard. The easiest method is considered to be using the xor operation and the hardest method is considered implementation of completely different algorithm to reconstruct the image from the shares. The image quality metric is a comparison of the original image to the reconstructed image. This allows the overall quality of the algorithm to be assessed from the perception of the reconstructed image. For binary images, the PSNR metric does not produce good results so an alternative metric such as noise correlation

can also be reported. The visual perception of the images can also be reported in the comments section to clarify the results and note any discrepancies from the metric. This step is important in understanding the reconstruction process and the quality of images that are reconstructed from the shares.

The fifth and final step of the benchmarking scheme is the final ranking (or grade) assigned to the algorithm based on the information acquired in the previous steps. This ranking is assigned similar to grades received in the academic sector (A, B, C, & D) with the specific assignment based on the criterion set in Table 3.3. This ranking system allows the performance of the algorithm to be ranked on a scale that is recognized with initial implications such as an A is the highest ranking and overall positive reviews and D is seen as a low ranking with a majority of negative feedback. Corresponding with this ranking is the ability to add comments, observations, and additional notes that are observed through the benchmarking of this algorithm.

Table 3.3: Information for Step 5 of the Benchmarking Scheme

Ranking	Criterion
	- meets two or more algorithm capabilities
A	- $\geq 25$ score sum of code evaluation parameters
$\square$	- easy implemention of image reconstruction
	- overall positive feedback
	- meets one or two algorithm capabilities
B	- $\geq 20$ score sum of code evaluation parameters
	- medium implemention of image reconstruction
	- generally positive with some negative feedback
	- meets one algorithm capability
C	- $\geq 15$ score sum of code evaluation parameters
	- hard implemention of image reconstruction
	- mixture of positive and negative feedback
	- meets one algorithm capability
	- $<15$ score sum of code evaluation parameters
	- hard implemention of image reconstruction
	- overall negative feedback

While this benchmarking scheme does not follow the standard practice business model, it does provide a method for differentiating between given Visual Cryptography algorithms. It also allows them to be properly assessed for their strengths and weaknesses. This benchmarking process covers obtaining information about the algorithm, identifying its image capabilities, determining the process used to generate the shares, a numerical ranking of the algorithm code provided, an understanding of the reconstructed images from the shares, and an overall score for the algorithm in the form of a letter grade.

#### 3.3.1 Benchmarking Scorecard

The organization of the data obtained from the benchmarking scheme is crucial for allowing readers to use the information available to make an educated decision about the best algorithm for their task or project. To present the benchmarking data, the following design is proposed in

Title of Algorithm	X			
Paper Reference	Λ			
Algorithm Capabilities	XXX			
Number of shares (min-max)	# - #			
Expansion factor $(x, y)$	(#, #)			
Type of Approach Used	Description			
Code Evaluation				
clarity	#			
descriptivity	#			
content	#			
Total	$\Sigma$			
Image Reconstruction from Shares				
Method	Description			
Ease of Implementation	Difficulty			
PSNR (original to reconstructed)	#			
Comments/Notes/Observations				
white space available				

Table 3.4: Example Scorecard for Benchmarking Scheme

Table 3.4. This design prominently displays the title and overall rank of the algorithm. It also lists the data obtained from each of the steps of the benchmarking scheme. Lastly, it leaves a space for additional comments, notes, and observations seen while benchmarking the algorithm. The layout of the card provides the optimum amount of information to help in making a decision about the algorithm, while not overwhelming the reader with too much extraneous information.

#### 3.4 Results of Benchmarking Scheme

The process of the benchmarking scheme for Visual Cryptography algorithms is intended to be performed on any and all available algorithms. The versatility allows the same standards to be upheld whether the algorithm is capable of handling solely binary images or all binary, gray, and color images. To demonstrate the process of benchmarking, several algorithms have been selected and will be analyzed with a final scorecard generated for each corresponding algorithm. The algorithms are listed in individual sections with their final scorecard shown at the end of each corresponding section.

The original algorithm has not been benchmarked in this thesis. This is due to the algorithm being the basis for the benchmarking process and also due to time constraints. The original algorithm could be benchmarked but it would not be essential due to the incorporation of the algorithm in many different algorithms. Ideally, the original algorithm would score the highest grade from the benchmarking scheme and is considered the primary reference for generating new algorithms.

#### 3.4.1 Binary Algorithm

The first algorithm being benchmarked is by Ran-Zan Wang titled "Region Incrementing Visual Cryptography" [15]. The premise behind this paper is encrypting portions of an image to have different levels of secrecy. For example, if three shares of an image are generated, overlapping any two would result in the same message and overlaying all three images would result in the message from overlaying two shares and an additional secret level message. This provides a dual layer of

	1			
Region Incrementing Visual Cryptography	B			
Wang, Ran-Zan IEEE Signal Processing Letters Aug. 2009 [15]	D			
Algorithm Capabilities	В			
Number of shares (min-max)	3 - 4			
Expansion factor $(x, y)$	(2,2) & (2,5)			
Type of Approach Used	Secret Level Kernels			
Code Evaluation				
clarity	9			
descriptivity	8			
content	10			
Total	27			
Image Reconstruction from Shares				
Method	XOR operator			
Ease of Implementation	Easy			
PSNR (original to reconstructed)	$11.73 \mathrm{~dB}$			
Comments/Notes/Observations				
pros: useful for multiple encryption levels cons: abnormal pixel expansion with				
increase in number of secret levels notes: secret images can be seen in shares				
but fixed with correct kernel modifications				

Table 3.5: Scorecard for a Benchmarked Binary Image Algorithm

security of hiding and revealing multiple messages. The results of the benchmarking scheme are shown in Table 3.5. This scorecard shows the overall positive characteristics of this algorithm and how it can be beneficial to various projects. With the kernels presented in the paper, the secrets can be partially seen in the shares. However, a slight modification conceals the secrets in the shares and allows them to only be seen through overlapping the shares. This algorithm was easy to understand conceptually and would be useful in several applications. An ideal area to implement the process would be in maintaining trade secrets. This would allow three people to be responsible for the shares and only if all three of them are together, would they be able to decipher the whole secret message. There would also be little risk for transporting individual shares because no information can be obtained through them due to the nature of Visual Cryptography. The primary downfalls to this algorithm are the abnormal pixel expansion and the loss of contrast

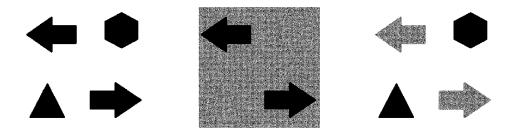


Figure 3.1: Results of Region Incrementing Algorithm

as more levels are needed. Additionally, the overall PSNR is rather low. This is primarily due to the metric not being ideal for comparing binary images. If the images are visually observed, as seen in Figure 3.1, it is clear what message overlapping two of the shares reveals, as well as the result of overlapping all three shares. This clarity is not reflected in the PSNR calculation for overlapping the shares, which was 3.63 dB for comparing the overlap of any two shares to the original, and 11.73 dB for comparing the overlap of all three shares to the original. Overall, this algorithm is useful in concealing regions of an image and is easy to implement, but comes with a contrast loss and abnormal pixel expansion.

#### 3.4.2 Gray Algorithm

Similar to the binary algorithm above, the algorithm by Chang-Chou Lin and Wen-Hsiang Tsai covers a technique for encrypting solely gray images. Their paper shows how dithering curves can



Figure 3.2: Results of Dithering Algorithm

be used to transform a gray level image into an approximate representation using only black and white pixels. Following this transformation, the binary representation is encrypted using a given Visual Cryptography algorithm. The results of the benchmarking scheme are shown in Table 3.6. This algorithm shows the ability of Visual Cryptography to handle gray level images and is a good introduction to the difficulties associated with generating shares for gray images. This algorithm represents the technique of transforming a gray image into an approximate binary image. While this allows the image to be encrypted with the standard Visual Cryptography algorithm, it does not retain most of the original content of the image. Figure 3.2 shows the original gray image used, the image after the dithering process has occurred, and the reconstructed image from the shares. This shows the justification for the low PSNR value reported. The main features of the image can be seen in the dithered image but much of the fine detail and content is lost. There is a perfect

Table 3.6: Scorecard for a Benchmarked Gray Image Algorithm

Visual cryptography for gray-level images by dithering techniques	B
Lin & Tsai, Pattern Recognition Letters, July 2002	D
Algorithm Capabilities	G
Number of shares (min-max)	2
Expansion factor $(x, y)$	(2,2)
Type of Approach Used	Dithering, Orig. Algorithm
Code Evaluation	
clarity	9
descriptivity	10
content	10
Total	29
Image Reconstruction from Shares	
Method	XOR operator
Ease of Implementation	Easy
PSNR (original to reconstructed)	4.14 dB
Comments/Notes/Observations	
pros: clear relatively fast requires only secret image no pixel ex	pansion cons: grav data

pros: clear, relatively fast, requires only secret image, no pixel expansion cons: gray data not retained, large loss of image quality and information, predictable Hilbert curve reconstruction between the dithered image and the image resulting from overlapping the shares. While the technique is well documented and easy to implement, careful consideration needs to be taken into account when implementing this algorithm to determine if the gray values of the image need to be fully retained.

#### 3.4.3 Gray and Color Algorithm

To provide the capability of encrypting gray and color images allows an algorithm to be used in multiple scenarios and for distinctively more projects than a solely binary algorithm. The algorithms presented by Young-Chang Hou in "Visual Cryptography for Color Images" [6] provides several methods for concealing gray and color images in seemingly random shares. Overall, the algorithms are easy to understand and implement with their primary method stemming from the process of halftoning. The security of the algorithms have been researched and documented through another paper by Leung, Ng, & Wong [9] and their results show that the image shares do not have the proper security necessary for Visual Cryptography shares. This information would need to be taken into consideration when deciding whether or not to use this algorithm for a given project. The results of the benchmarking scheme are shown in Table 3.7. This algorithm benchmark contains the information for the first two methods, separated by a comma where applicable. These two methods demonstrate the use of CMY color space to conceal the image in shares. The CMY image of Lena used is seen in the first image of Figure 3.3. The first method uses halftoning





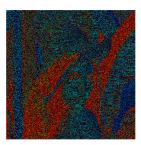


Figure 3.3: Results of Two Color Algorithms

Visual cryptography for color images Hou, Pattern Recognition Letters, August 2002	В			
Algorithm Capabilities	GC			
Number of shares	4,2			
Expansion factor $(x, y)$	(2, 2)			
Type of Approach Used	Halftoning, Color Subpixels			
Code Evaluation				
clarity	9			
descriptivity	9			
content	9			
Total	27			
Image Reconstruction from Shares				
Method	XOR operator			
Ease of Implementation	Easy			
PSNR (original to reconstructed)	8.98  dB, 2.42  dB			
Comments/Notes/Observations				
pros: easy to implement, quick run time cons: not robust against attacks, large contrast loss, some shares reveal secret image				

Table 3.7: Scorecard for a Benchmarked Gray and Color Image Algorithm

and generates a separate share for each color dimension and a mask. This means the minimum number of shares required is four. Overall the image quality has not severely degraded, as seen in the second image in Figure 3.3. Similarly to the previous algorithm on halftoning, the result is a perfect reconstruction of the halftoned image, but is not a perfect reconstruction of the original image. The second method only requires two shares and uses color subpixels to generate the shares. This method results in a severe loss of contrast, as seen in the third image of Figure 3.3. The result has very low contrast and it is hard to discern the content of the image. This is evident from the low PSNR value computed. These algorithms would be useful in encrypting color images, as long as an approximation to the color image is acceptable.

#### 3.4.4 Binary, Gray, and Color Algorithm

The last algorithm that will be benchmarked in this paper is "(2,n) secret sharing scheme for gray and color images based on Boolean operation" [4]. This algorithm takes an alternate approach to the standard share generation scheme. It looks at the bit representation of the gray/color pixels to generate bit-level images, which are then manipulated and recombined to generate gray and color image shares. This recombination process is dependent on an r-run technique that is described in the paper. This process is beneficial to the security of the shares but requires extra work in the revelation process, as it is no longer simply overlaying image shares. The results of the benchmarking scheme are shown in Table 3.8. As seen from the benchmarking results, this algorithm is capable of handling binary, gray, and color images. Overall, the results show success

Table 3.8: Scorecard for a Benchmarked Binary, Gray, and Color Image Algorithm

(2,n) secret sharing scheme for gray and color images based on Boolean operation	В
Dong, Wang, Li, & Dai, Science China, July 2010	
Algorithm Capabilities	BGC
Number of shares	$r\cdot n, r\cdot n\cdot 8, r\cdot n\cdot 8\cdot 3$
Expansion factor $(x, y)$	(1, 1)
Type of Approach Used	Boolean Operations, Halftoning
Code Evaluation	
clarity	8
descriptivity	8
content	7
Total	23
Image Reconstruction from Shares	
Method	Reverse Approach, Recombining
Ease of Implementation	Medium
PSNR (original to reconstructed)	33.0 - 45.1 dB
Comments/Notes/Observations	
pros: relatively fast, easy to understand cons: lots of bookkeeping, many shares per person notes: good method to preserve majority of gray/color values	

of images

in generating shares and revealing the information contained in them. However, the pseudo code is not perfectly clear, descriptive, and complete so developing an algorithm that mimics this paper is challenging. Once the algorithm is generated, it performs as described and gives results that are similar to those presented in the paper. The visual results can be seen in the paper due to the many combinations of r & n that were tested. The range of PSNR values that were reported were for gray image shares reconstruction. Overall, this algorithm has many positive results but there is a lot of bookkeeping that is involved. As long as multiple shares and an extra step in revelation are acceptable, this algorithm is a good way to generate shares of binary, gray, and color images. It also makes an effort to maintain the values of the pixels rather than simply thresholding them or reassigning them.

### 3.5 Observations and Additional Information

This benchmarking process can be applied to any Visual Cryptography algorithm to assess its capabilities and performance. These four examples show how the benchmarking process allows a visualization of the algorithm's strengths and weaknesses and how it compares to a standard grading scale. Ideally, several independent assessors would provide their scoring and benchmarking analysis and those would be complied to give an unbiased result that could be published on an easily accessible database.

## Chapter 4

# **Importance of Findings**

The process of benchmarking allows a deeper understanding of the field of Visual Cryptography to be gained. The results that are attained from this process allow Visual Cryptography algorithms to be easily incorporated into a variety of projects. A proper benchmarking scheme gives Visual Cryptography algorithms documentation and displays their capabilities and weaknesses. This allows an informed decision to be reached on whether or not the algorithms is suited to the project needs and goals.

### 4.1 **Project Significance**

The significance of this benchmarking process is rooted in the understanding gained about the field of Visual Cryptography. This process works on bridging the gap between algorithm developer and project coordinators. It provides information that is useful in developing new algorithms as well as incorporating old algorithms into projects and tasks. Through using a benchmarking scheme, the content, capabilities, and documentation for a given algorithm is in an easily accessible form. This project is also significant because it is a new proposal for documenting Visual Cryptography algorithms. It also provides general guidelines for developers on the content and information needed to use a given algorithm in practice. These guidelines are also beneficial for project coordinators

to understand the various uses and capabilities of known Visual Cryptography algorithms, in addition to current gaps in the field. A benchmarking scheme is essential to the growing field of Visual Cryptography and will revolutionize the development, use, and expansion of the field.

### 4.2 **Project Relevance**

This benchmarking process allows a more comprehensive understanding of Visual Cryptography. It achieves this through examining individual components, as well as the overall process, of a Visual Cryptography algorithm. This is beneficial for the developers of new algorithms to understand how their algorithm is interpreted and perceived from a reader's perspective. Similarly, this is beneficial to the readers to allow insight into the development of an algorithm and the proper use of algorithms for various projects and tasks. The relevance of the benchmarking process extends to providing a standard comparison of all Visual Cryptography algorithms. Comparison to this standard requires input from several members of the Visual Cryptography community on their assessment of the algorithms capabilities and weaknesses. This benchmarking scheme provides a unification of Visual Cryptography algorithms by comparing them to the same set of standards.

## Chapter 5

## Conclusions

The field of Visual Cryptography has progressed steadily over the past several years. It started as a process to encrypt binary images to conceal messages containing text and has evolved into encrypting color images as meaningful shares to conceal messages ranging from binary text to other color images. As this field continues to grow and expand in its capabilities, a benchmarking process is beneficial to highlight the strengths and weaknesses of each algorithm as well as growth areas that have not been met by the current algorithms. This benchmarking scheme has been developed to better understand the current algorithm techniques available within the Visual Cryptography community.

This paper outlines a proposed Visual Cryptography algorithm benchmarking scheme. Through benchmarking a given algorithm, a scorecard is given as the result. This scorecard contains information pertaining to the algorithm and allows external readers and developers to concisely interpret the algorithm. Some of the information provided in the scorecard includes a concise summary of the paper regarding its type of encryption used, algorithm assessment, and revelation of results. Additionally, it notes specific strengths and areas of improvement with a write-in comments section. This benchmarking scheme provides information about Visual Cryptography algorithms which can be used to determine if a given algorithm is sufficient for a specific project or task. The availability of this information is beneficial for finding the ideal algorithm to use in a specific project and identifying growth areas to be filled with current research in Visual Cryptography.

To demonstrate the use of this benchmarking scheme, the process is demonstrated on four different algorithms. This process is shown to be successful in benchmarking those four algorithms, and by extension all remaining Visual Cryptography algorithms. This scheme also takes into account the foundations of Visual Cryptography to determine the ranking of the algorithms. It focuses on examining the improvement and differences of the given algorithm compared to the original algorithm by Naor and Shamir. The benchmarking scheme was successful for the four given algorithms and would need to be tested on several additional algorithms to determine robustness and effectiveness of information presented in the scorecard style. Currently, the only input provided to generate these scorecards is from the author. Additional review and input would be required to have scorecards that are not creator biased, but these scorecards are sufficient for demonstration of the benchmarking process. Overall, the benchmarking scheme developed in this thesis can be declared a successful endeavor for the Visual Cryptography community.

## Chapter 6

# **Future Work & Expansion**

The completion of this project provides an opportunity for future work and expansion related to the benchmarking scheme presented. The primary expansion that can be executed is implementing this benchmarking scheme on all known Visual Cryptography algorithms. This would allow the robustness and effectiveness of the scheme to be properly evaluated. Benchmarking all known algorithms would also complete the purpose of the scheme and allow a greater understanding of Visual Cryptography. This would be a large commitment as proper benchmarking requires the analysis of the paper, in addition to implementing the algorithm and comparing the results.

After the benchmarking scheme has been performed on all algorithms through the perspective of a single person, the next natural expansion would be to accept reviews from multiple sources. This would allow an overall understanding of Visual Cryptography algorithms and would remove some of the author and reviewer bias. These reviews would have to be complied and averaged to present the results in a scorecard format, similar to a single reviewer. The number of reviewers required for each benchmarking algorithm to remove a majority of the bias should be determined as well. It would also be recommended that the authors of the algorithm are consulted on their own algorithm to provide an assessment of information intended for the readers. This generates an understanding of reader interpretation compared to author intentions. This also benefits future developers and authors by observing how Visual Cryptography papers and algorithm development are perceived by the Visual Cryptography community.

The last project expansion is to develop a benchmarking database. This database would compile the results from the scorecards and present them in an easily accessible format. Within the digital scorecard, there would be a link to the paper being benchmarked and an interactive section. This interactive section would allow users to provide additional comments, extensive reviews, and generous feedback on the algorithms. It would also allow users to submit code for analysis and feedback on improvement from the database community and algorithm developers. The database would also allow authors and developers to interact with reviewers to gain additional perspective on their algorithms, specifically how their algorithm was perceived and implemented. The database could also have an additional section where feedback is obtained prior to paper submission, in addition to a section where ideas can be generated and presented to developers looking for projects. This database would allow a true unification of the Visual Cryptography community and provide an interactive environment dedicated to the understanding, improvement, and generation of Visual Cryptography algorithms.

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